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<tr>
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</tr>
</tbody>
</table>

*Please contact mv207@columbia.edu to obtain copies of Exhibits*
Columbia University Campuses

Columbia University Facilities and Operations departments manage the renovation and construction projects at Baker Athletics Complex, Nevis Labs, Manhattanville, Morningside, Columbia University Irving Medical Center, Lamont-Doherty Earth Observatory.
Columbia University Campuses
Managed by CU Morningside Facilities and Operations

**Baker Athletics Complex**

Located at 218th Street and Broadway, Baker Athletics Complex is the primary athletics facility for Columbia outdoor sports programs, featuring Robert K. Kraft Field at Lawrence A. Wien Stadium, Rocco B. Comisso Soccer Stadium, Columbia Softball Field, Robertson Field at Satow Stadium, the Columbia Field Hockey Venue, The Dick Savitt Tennis Center, The Remmer and 1929 Boathouses, the Chrystie Field House and the Campbell Sports Center.

**Manhattanville Campus**

The newly constructed Manhattanville Campus includes more than 17 acres of property on the blocks from West 125th Street to 133rd Street between Broadway and 12th Avenue, as well as several properties on the east side of Broadway, from 131st to 134th Street. It is home to the Jerome L. Greene Science Center, Lenfest Center for the Arts, and the University Forum.

**Morningside Campus**

Columbia’s Morningside Campus is located on the Upper West Side of Manhattan, with the core campus located between 114th Street and 120th Street from Morningside Drive to Broadway. This campus includes both academic and residential buildings.

**Nevis Laboratories**

Nevis Laboratories is a physics research facility operated by the Physics Department located in Irvington, NY.

*For more information on Facilities Management of these campuses, visit: [https://cufo.columbia.edu/](https://cufo.columbia.edu/)*
**Columbia University Irving Medical Center (CUIMC)**

CUIMC sits on a 20-acre campus in northern Manhattan located between 165th Street and 173rd Street from Riverside Drive to Audubon Avenue (with one building at 390 Fort Washington Avenue). CUIMC is a center for excellence in transformative health care, education and healing.

For more information visit: [http://www.cumc.columbia.edu/facilities-management/](http://www.cumc.columbia.edu/facilities-management/)

**Faculty Practices**

ColumbiaDoctors provides patients with the expertise of more than 1,700 highly regarded physicians, surgeons, dentists, and nurses at locations throughout New York City and the surrounding area.

For a full list of locations, please visit: [https://www.columbiadoctors.org/locations](https://www.columbiadoctors.org/locations)
Lamont-Doherty Earth Observatory (LDEO)*

LDEO sits on a high, forested bluff on the Palisades overlooking the Hudson River, about fifteen miles north of Manhattan.

For more information and directions, visit: http://www.ldeo.columbia.edu/about-ldeo/maps-contacts

*Large capital projects are managed by CU Morningside Facilities and Operations
## Primary Contacts
### CU Morningside Facilities and Operations

<table>
<thead>
<tr>
<th>Topic</th>
<th>Contact Person / Group</th>
<th>E-Mail Address / Website</th>
<th>Telephone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounts Payable Assistance</td>
<td>CUFO Accounts Payable</td>
<td><a href="mailto:cufaphelp@columbia.edu">cufaphelp@columbia.edu</a></td>
<td>212-854-6679</td>
</tr>
<tr>
<td>Invoice Submission*</td>
<td>CUFO Accounts Payable</td>
<td><a href="mailto:cufap@columbia.edu">cufap@columbia.edu</a></td>
<td>212-854-6679</td>
</tr>
<tr>
<td>General Questions / Onboarding</td>
<td>Manny Vasquez</td>
<td><a href="mailto:mv207@columbia.edu">mv207@columbia.edu</a></td>
<td>212-854-8574</td>
</tr>
<tr>
<td>Certificates of Insurance</td>
<td>Manny Vasquez</td>
<td><a href="mailto:mv207@columbia.edu">mv207@columbia.edu</a> or <a href="mailto:CUFOCOI@columbia.edu">CUFOCOI@columbia.edu</a></td>
<td>212-854-8574</td>
</tr>
<tr>
<td>Procurement (Bids, Contracts, Purchase Orders, Change Orders)</td>
<td>Theresa Formato</td>
<td><a href="mailto:tf2287@columbia.edu">tf2287@columbia.edu</a></td>
<td>212-854-2501</td>
</tr>
<tr>
<td>Change of Address or Company Information</td>
<td>Central Vendor Management</td>
<td><a href="http://finance.columbia.edu/procurement/vendor-management">http://finance.columbia.edu/procurement/vendor-management</a></td>
<td>N/A</td>
</tr>
<tr>
<td>Minority, Women, &amp; Local Initiatives and Questions</td>
<td>Scharlene De La Cruz</td>
<td><a href="mailto:sd3286@columbia.edu">sd3286@columbia.edu</a></td>
<td>212-854-5375</td>
</tr>
<tr>
<td>Reporting for Minority, Women, &amp; Local Initiatives</td>
<td>Youseph Anwar</td>
<td><a href="mailto:ya2425@columbia.edu">ya2425@columbia.edu</a></td>
<td>212-853-0240</td>
</tr>
</tbody>
</table>

*All invoices submitted to CUFO Accounts Payable must be submitted utilizing the Excel worksheet referenced as Exhibit A. All new vendors will be emailed an invoice template in Excel format to submit your invoices for processing*
### Primary Contacts

**CU Irving Medical Center Facilities and Operations**

<table>
<thead>
<tr>
<th>Topic</th>
<th>Contact Person / Group</th>
<th>E-Mail Address</th>
<th>Telephone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounts Payable – Capital Project Management*</td>
<td>Christina Garcia</td>
<td><a href="mailto:cg2763@cuimc.columbia.edu">cg2763@cuimc.columbia.edu</a></td>
<td>212-305-0971</td>
</tr>
<tr>
<td>Accounts Payable – Operations, Engineering*</td>
<td>Janet Salinas-Vasquez</td>
<td><a href="mailto:js1836@cuimc.columbia.edu">js1836@cuimc.columbia.edu</a></td>
<td>212-305-1766</td>
</tr>
<tr>
<td>Accounts Payable – Housing*</td>
<td>Maritza Pierret</td>
<td><a href="mailto:mp584@cumc.columbia.edu">mp584@cumc.columbia.edu</a></td>
<td>212-304-7009</td>
</tr>
<tr>
<td>Procurement (Bids, Contracts, Purchase Orders, Change Orders)</td>
<td>Mary Ann Egel-Guida</td>
<td><a href="mailto:mae2152@cuimc.columbia.edu">mae2152@cuimc.columbia.edu</a></td>
<td>212-342-4423</td>
</tr>
<tr>
<td>Certificates of Insurance, Contracts</td>
<td>Christina Garcia</td>
<td><a href="mailto:cg2763@cuimc.columbia.edu">cg2763@cuimc.columbia.edu</a></td>
<td>212-305-0971</td>
</tr>
<tr>
<td>Change of Address or Company Information</td>
<td>Central Vendor Management</td>
<td><a href="http://finance.columbia.edu/procurement/vendor-management">http://finance.columbia.edu/procurement/vendor-management</a></td>
<td>N/A</td>
</tr>
<tr>
<td>Minority, Women, &amp; Local Initiatives, Reporting and Questions</td>
<td>Susann Miles</td>
<td><a href="mailto:swm2129@cumc.columbia.edu">swm2129@cumc.columbia.edu</a></td>
<td>212-305-0188</td>
</tr>
</tbody>
</table>

*Please contact the individual Accounts Payable representatives for specific invoice submission instructions. Do Not utilize the instructions included in Exhibit A.*
## Primary Contacts

*Lamont Doherty Earth Observatory*

<table>
<thead>
<tr>
<th>Topic</th>
<th>Contact Person / Group</th>
<th>E-Mail Address</th>
<th>Telephone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounts Payable Assistance</td>
<td>Yesenia Capellan</td>
<td><a href="mailto:capellan@LDEO.columbia.edu">capellan@LDEO.columbia.edu</a></td>
<td>845-365-8856</td>
</tr>
<tr>
<td>Invoice Submission*</td>
<td>Margaret Morrone</td>
<td><a href="mailto:morrone@LDEO.columbia.edu">morrone@LDEO.columbia.edu</a></td>
<td>845-365-8600</td>
</tr>
<tr>
<td>General Questions / Onboarding</td>
<td>N/A</td>
<td><a href="mailto:bng@LDEO.columbia.edu">bng@LDEO.columbia.edu</a></td>
<td>N/A</td>
</tr>
<tr>
<td>Procurement (Bids, Contracts)</td>
<td>Karen Hoffer</td>
<td><a href="mailto:kh313@columbia.edu">kh313@columbia.edu</a></td>
<td>845-365-8404</td>
</tr>
<tr>
<td>Transaction Status (Purchase Orders, Change Orders)</td>
<td>Karen Hoffer</td>
<td><a href="mailto:kh313@columbia.edu">kh313@columbia.edu</a></td>
<td>845-365-8404</td>
</tr>
<tr>
<td>Certificates of Insurance, Contracts</td>
<td>Tracy Livingston</td>
<td><a href="mailto:tl2025@columbia.edu">tl2025@columbia.edu</a></td>
<td>212-851-0416</td>
</tr>
</tbody>
</table>

*Please contact the individual Accounts Payable representatives for specific invoice submission instructions. Do Not utilize the instructions included in Exhibit A.*
For more information about Columbia Public Safety and emergency protocols please visit: https://publicsafety.columbia.edu/

Please save the emergency number for the campus you will be working on in your cell phone

<table>
<thead>
<tr>
<th>Location</th>
<th>Phone Number</th>
<th>Emergency Phone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Columbia University Irving Medical Center</td>
<td>212-305-8100</td>
<td>212-305-7979</td>
</tr>
<tr>
<td>Lamont-Doherty Earth Observatory</td>
<td>845-359-2900</td>
<td>911 then 555</td>
</tr>
<tr>
<td>Manhattanville Campus</td>
<td>212-853-3301</td>
<td>212-853-3333</td>
</tr>
<tr>
<td>Morningside Campus</td>
<td>212-854-2797</td>
<td>212-854-5555</td>
</tr>
<tr>
<td>Nevis Laboratories</td>
<td>914-591-2870</td>
<td>911</td>
</tr>
</tbody>
</table>
Supplier Approval Process

• All suppliers of the University must be approved in the University’s Finance system, ARC, in order to begin work with the University.

• Vendor Management is the central unit responsible for approving suppliers in ARC. The supplier will receive a series of automated emails from Columbia University Supplier Management to complete company and financial information.

• Upon approval, you will be issued a vendor ID number. Keep this number on file so that you may update your profile if needed. Columbia University can now transact with your firm, but this does not guarantee business.

• *If any firm information changes during the course of business, firm must initiate changes to Columbia Supplier Profile.* Please refer to Exhibit B: “Instructions for Suppliers to Update Columbia Supplier Profile Information” utilizing your ARC Vendor ID as the starting point.

• *If you have a change of address, banking account, EIN, you MUST update this information on your Columbia Supplier Profile immediately, as this will affect your receipt of payment.*


• Supplier will define a primary trade their firm specializes in and up to 10 specialties. It is important to complete this accurately to ensure CU can identify your firm for their needs.

• Once approved, your firm is eligible for inclusion in procurement of facilities related goods and services.
Overview of Competitive Procurement Process:

• A Request for Proposal or Quotation will be sent to selected vendors through our Electronic Bidding module in the Unifier project management system. Comprehensive instructions will be included in each RFP invitation.

• Bidders are provided with a comprehensive scope of work, drawings and specifications (if applicable), contact information for questions, a procurement schedule, a sample contract, a contract acknowledgement form, CU instructions to bidders and policies.

• Proposals must be received before due date and time. Proposals must include a completed pricing sheet and exceptions to the scope of work (if applicable).

• Proposals are reviewed amongst a group of University officers. Bidders may be requested to interview, submit a clarification bid, or engage in a negotiation of terms or cost.

• An award decision is communicated to all bidders regardless of award or non-award.

• A valid certificate of insurance is required to work with the University before a contract can be issued.

• Contract is sent to vendor for execution. CU provides counter signature. Under no circumstances can work begin without a fully executed contract.

• A purchase order is issued to the vendor for payment of invoices. This purchase order number must be noted on all invoices when submitted to Accounts Payable.
Non-construction, maintenance and facilities related services are generally procured without a contract.

The Purchase Order terms dictate the terms of the agreement - https://finance.columbia.edu/files/gateway/forms/PO_Terms_and_Conditions.pdf

Examples of these types of services include services such as training, workshops, and the purchase or rental of minor goods and equipment.

Overview of Procurement Process:

- Project manager will request a quote / proposal for specific goods or services.
- *If you are coming to a Columbia University property, a valid certificate of insurance is required to work with the University before a purchase order can be issued.*
- A purchase order is issued to the supplier for payment of invoices. *Work should not begin before a purchase order is generated.*
- This purchase order number must be noted on all invoices when submitted to Accounts Payable.
Contract Types

All construction, maintenance and facilities related work is contracted utilizing one of the following contract types. The contract will detail all needed information for successful completion of your job including the contract term, work dates, scope of work, insurance, billing, and change order requirements. Details on each contract type and requirements for working within this contract are included as an Appendix to this presentation.

**Contractor Agreements**
- Task Order
- Service Agreement
- Construction Manager
- General Contractor

**Consultant Agreements**
- Term Consultant
- Consultant Letter of Agreement
- Service Provider
Insurance Requirements

• All suppliers must meet University insurance requirements. These requirements are based on an assessment of risks related to type, scope and dollar value of work to be completed. All insurance requirements are finalized in the contract or purchase order terms and conditions.

• All Certificates of Insurance must be submitted to the primary email contact listed on pages 6-8 upon communication of project award and prior to the start of work.

• Submit new Certificates of Insurance at least 30 days prior to the expiration of your current certificate.

• Each certificate of insurance shall provide for a 60-day written notice of cancellation or material change.

• With the exception of Workers' Compensation, Professional Liability, and Employer's Liability Insurance, the Description of Services shall name "The Trustees of Columbia University in the City of New York, its Trustees, officers, agents and employees" as an additional insured.

• The Certificate Holder must be designated as: “The Trustees of Columbia University in the City of New York – Attn: Risk Management – 615 West 131st Street, 3rd Floor – New York, NY 10027.”

• See Exhibit I: Certificate of Insurance Sample
Supplier Performance Expectations

- Doing business with Columbia requires business capacities on the part of suppliers, including but not limited to: internet access, electronic billing and invoicing, financial reporting and administrative capabilities, and maintaining proper insurance.

- Regardless of size, any company wishing to do business with Columbia will be required to provide high-quality goods and services, timely delivery, and competitive pricing.

- All suppliers who provide services to Columbia must adhere to the highest standards of professionalism, including, but not limited to:

**ETHICS**
- Appropriate, professional conduct
- Ethical business practices
- Disclosure of any conflicts of interest with the University and its employees
- Integrity
- Ensure that all employees have undergone background checks

**ACCOUNTING PRACTICES**
- Knowledgeable staff to manage invoicing and contracts
- Professional billing system (ex: Quickbooks)
- Internet access and e-mail proficiency
- Understanding of facility management systems

**BUSINESS PRACTICES**
- Offer competitive and fair pricing
- Responsive to Columbia’s requests
- Timely execution of work
- Financial capacity to perform work awarded
- Honor terms and commitments of the contract
- Maintain required insurance levels
- Maintain current licenses and certifications
- Ongoing safety and compliance trainings
All Suppliers will be reviewed and evaluated by the project manager at the end of every job using the Supplier Scorecard, pictured below. Additionally, all new Suppliers will be reviewed every six months.

The purpose of the Supplier Performance Review is to evaluate the quality of service provided to Columbia University by our suppliers.

Suppliers with low performance will be reviewed by the University.
Supporting Minority-, Women-, and Locally-owned Business Enterprises

Overview:

• Columbia University has a long-standing commitment to working with minority-, women-, and locally-owned (MWL) business enterprises, whether by establishing purchasing relationships with suppliers, entering into consulting agreements for services, or contracting for construction, renovation, or repair services.

• This commitment is related directly to the maintenance of a strong and healthy free enterprise system, the goals of equal opportunity and diversity, and increasing the participation of qualified minority- and woman-owned and locally-based businesses working with the University.

Supplier Requirements *(See Exhibit F: MWL Requirements and Forms):*

• General Contractors and Construction Managers that are awarded work over $100,000 but under $1M are required to make their **BEST EFFORTS** to subcontract at least 35% of their overall award to MWL firms. Columbia has resources / suggestions of qualified firms readily available, if needed. Reporting requirements are outlined in **Exhibit F.**

• General Contractors and Construction Managers that are awarded work over $1M are **REQUIRED** to subcontract at least 35% of their overall award to MWL firms and hire 40% MWL workforce for the project. Reporting requirements are outlined in **Exhibit F.**

• For any questions regarding Columbia’s commitment to Minority, Women or Local business enterprises please e-mail **MWLBESupport@columbia.edu**
In order to participate in contracting opportunities as a Minority and/or Women Business Enterprise (M/WBE), firms must be able to demonstrate that they are certified.

Typically, certification by established governmental and quasi-governmental agencies is required and the University will rely on M/WBE certifications granted by specifically identified agencies or institutions in New York, New Jersey and Connecticut.

Columbia accepts certifications from the agencies listed below:

- New York Metropolitan Transit Authority (MTA): [http://web.mta.info/mta/procurement/d-m-wbe.htm#begin](http://web.mta.info/mta/procurement/d-m-wbe.htm#begin)
- Women's Business Enterprise National Council (WBENC): [https://www.wbenc.org/certification/](https://www.wbenc.org/certification/)

In the event a firm is certified by an agency other than the ones listed above, the Office of Construction Business Initiatives can provide an exception waiver on an as needed basis.
Locally-Owned Business Enterprises

As a Harlem-based institution, the University principally defines its “local” catchment area as those communities located in the 13 zip codes in Harlem, Washington Heights, Inwood and the four zip codes within the South Bronx. In select cases, local may be defined as all five boroughs of New York City. You should inquire with your Columbia contact to determine if this is the case for your project.

**Complete list of Zip Codes**
- 10025
- 10026
- 10027
- 10029
- 10030
- 10031
- 10032
- 10033
- 10034
- 10035
- 10037
- 10039
- 10040
- 10451
- 10454
- 10455
- 10474

**Upper Manhattan Empowerment Zone (UMEZ)**
(13 zip codes)

**South Bronx (4 zip codes)**
Unifier and Maximo are the primary project management and work order management systems utilized by CU Morningside Facilities and Operations and CU Irving Medical Center Facilities and Operations.

<table>
<thead>
<tr>
<th></th>
<th><strong>Unifier</strong></th>
<th><strong>Maximo</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Primary Use</strong></td>
<td>Project Management System</td>
<td>Work Order and Repair Management System</td>
</tr>
<tr>
<td><strong>Functionality</strong></td>
<td>Allows vendor to submit potential change orders (PCOs) and invoices directly into the system for payment. Allows vendor to track these items for approval. Utilized as mechanism for electronic bidding.</td>
<td>Allows vendor to receive task order or repair requests, submit proposal for requested work, receive approval of the work plan, and submit invoices directly into the system for payment. Allows vendor to track these items for approval.</td>
</tr>
<tr>
<td><strong>Suppliers with Access</strong></td>
<td>Selected general contractors and construction managers will be granted access, a license and training.</td>
<td>Most task order vendors and service maintenance providers will be granted access, a license and training.</td>
</tr>
</tbody>
</table>

Suppliers who are not granted access to either system must submit their change orders directly to the project manager, and their invoices directly to accounts payable.
Relevant Policies for Vendors:

- CU Morningside Facilities and Operations Design Requirements: [https://facilities.columbia.edu/design-requirements](https://facilities.columbia.edu/design-requirements)
- Purchasing Code of Ethics: [https://policylibrary.columbia.edu/code-ethics-purchasing](https://policylibrary.columbia.edu/code-ethics-purchasing)
- Conflict of Interest: [https://policylibrary.columbia.edu/conflicts-interest](https://policylibrary.columbia.edu/conflicts-interest)
- Vendor Gift Policy: [https://policylibrary.columbia.edu/files/policylib/imce_shared/Vendor_Gift_and_Invitation_Policy.pdf](https://policylibrary.columbia.edu/files/policylib/imce_shared/Vendor_Gift_and_Invitation_Policy.pdf)
- Environmental Stewardship: [https://sustainable.columbia.edu/](https://sustainable.columbia.edu/)

For a complete listing of all the University’s policies, please visit: [http://policylibrary.columbia.edu/](http://policylibrary.columbia.edu/)
Requirements by Contract Type:

- Task Order Contract
- Service Maintenance Contract
- Construction Manager and General Contractor
- Term Consultant
- Consultant Letter of Agreement and Service Provider
## Task Order Contract

### Description
- University Wide contract for a vendor to perform task-based jobs on an as-requested basis
- Award value is limited to $30,000 for single trade jobs, and $70,000 for multiple trade jobs
- Hourly wage rates, overhead and profit, and task-specific/unit rates are negotiated at beginning of contract for the duration of the contract term
- Manager will solicit quote from vendor for work and provide written acceptance and authorization to proceed
- Depending on the type of project you may be requested to submit a work plan in Maximo. Upon approval of the plan you may proceed with the work.

### Proposal Requirements
- Proposal must clearly breakdown hourly wage rates, cost of materials and equipment, and overhead and profit *(See Exhibit C: Task Order Proposal Template)*
- Proposals are required for use of all sub-contractors
- A purchase order number is not generated for each individual task-based job within these limits

### Change Order Requirements
- Change orders must clearly breakdown hourly wage rates, cost of materials and equipment, and overhead and profit *(See Exhibit D: Change Order Template)*
- Must be submitted to Project Manager who will provide written acceptance and authorization to proceed

### Invoice Requirements
- Work shall be invoiced upon completion
- Required backup includes but is not limited to: breakdown of hours worked by category of labor, approved wage rates, cost of materials and equipment, overhead and profit, and a release and waiver of lien *(See Exhibit E: Partial and Final Waivers of Lien)*
- Timesheets / payroll backup is required for all scopes of work utilizing a total of 100 labor hours or more
- Invoices are required for payment of any material charge with a unit cost of $2,500 or more
- Invoices are required for all subcontractors utilized. Subcontractors invoice must be broken down by labor hours, hourly wage rates, cost of materials and equipment, and overhead and profit.
- At the discretion of CU, any additional supporting documentation may be requested
### Service Maintenance Contract

| Description | • Scope specific contract for preventative / regular maintenance services  
|             | • Hourly wage rates, overhead and profit, and task-specific/unit rates are negotiated at beginning of contract for the duration of the contract term  
|             | • A purchase order will be issued |
| Proposal Requirements | • Proposals must be broken down by service and site / building name  
|                     | • Proposal must clearly state frequency of preventative maintenance services |
| Change Order Requirements | • Any repairs completed outside of the service/ preventative maintenance scope of work must clearly breakdown hourly wage rates, cost of materials and equipment, and overhead and profit *(See Exhibit D: Change Order Template)*  
|                       | • Proposals are required for use of all sub-contractors  
|                       | • Repair or change order requests must be submitted to Project Manager for written approval to proceed  
|                       | • Depending on the dollar value of the repair work, you will be issued a Maximo work order or a purchase order |
| Invoice Requirements | • Work shall be invoiced on a periodic basis as dictated by the contract (i.e.: monthly / quarterly / annually)  
|                     | • Timesheets / Payroll backup is required as backup  
|                     | • Invoices are required for payment of any material charge with a *unit cost* of $2,500 or more  
|                     | • Invoices are required for all subcontractors utilized. Subcontractors invoice must be broken down by labor hours, hourly wage rates, cost of materials and equipment, and overhead and profit |
## Construction Manager and General Contractor

### Description
- Project specific contract for general contracting or construction management services
- Hourly wage rates, overhead and profit, and task-specific/unit rates for additional work are negotiated at the beginning of contract for the duration of the contract term
- A purchase order will be issued

### Change Order Requirements
- Change orders must clearly breakdown hourly wage rates, cost of materials and equipment, and overhead and profit. *(See Exhibit D: Change Order Template)*
- Must be submitted to Project Manager who will provide written acceptance and authorization to proceed
- Proposals are required for use of all sub-contractors.
- Selected General Contractors and Construction Managers will be granted access to the Unifier project management system and can submit Proposed Change Orders (PCOs) directly in the system. *GC/CM can proceed with PCOs only when they have a status of “Approved PCO”*

### Invoice Requirements
- Invoices must be submitted in AIA format in the same structure as the Rider A proposal (by trade/CSI code)
- Lien Waivers are required for all invoices from both the GC/CM and subcontractors *(See Exhibit E: Partial and Final Waivers of Lien)*
- For awards over $100K, Minority, Women and Local Effort Reporting is required *(See Exhibit F: MWL Requirements and Forms)*
- For Construction Managers only, invoices for General Conditions must be accompanied by supporting documentation as required in the contract
## Term Consultant

<table>
<thead>
<tr>
<th>Description</th>
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</table>
| • University Wide contract for a vendor to perform task-based jobs on an as-requested basis  
• Hourly wage rates and task-specific rates are negotiated at beginning of contract for the duration of the contract and must be utilized when preparing proposals and invoices  
• Award value is limited to $100,000  
• A purchase order is generated for proposals exceeding $5,000  
• Manager will solicit quote from vendor for work and provide written acceptance and authorization to proceed |

### Proposal Requirements

- Proposal must clearly breakdown hourly wage rates *(See Exhibit G: Term Consultant Proposal Template)*  
- Proposals are required for use of all sub-consultants

### Change Order Requirements

- Change orders must clearly breakdown hourly wage rates *(See Exhibit H: Term Consultant Change Order Template)*  
- Proposals are required for use of all sub-consultants  
- Must be submitted to Project Manager who will provide written acceptance and authorization to proceed

### Invoice Requirements

- Invoices shall be submitted monthly based upon actual labor and reimbursables expended during the prior billing month  
- Invoices are required for all subconsultants utilized  
- Copies of receipts are required for reimbursement of allowed reimbursable expenses
### Consultant and Service Provider Agreement

<table>
<thead>
<tr>
<th>Description</th>
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</thead>
<tbody>
<tr>
<td>• Project specific contract for a vendor to perform architectural or engineering services (Consultant Contract) or consulting services other than architectural or engineering related services (for example: marketing) (Service Provider Contract)</td>
</tr>
<tr>
<td>• Hourly wage rates for additional work are negotiated at beginning of contract for the duration of the contract term</td>
</tr>
<tr>
<td>• A purchase order will be issued</td>
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</tbody>
</table>

<table>
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<tr>
<th>Change Order Requirements</th>
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<tbody>
<tr>
<td>• Change orders must clearly breakdown hourly wage rates (<em>See Exhibit H: Consultant Change Order Template</em>)</td>
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